

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

|                        |                   |
|------------------------|-------------------|
| Application Number     | 10/561,973        |
| Filing Date            | December 22, 2005 |
| First Named Inventor   | Kazuyuki OHMOTO   |
| Art Unit               | 1625              |
| Examiner Name          | Rita J DESAI      |
| Attorney Docket Number | Q92245            |

## U.S. PATENTS

| Examiner Initials* | Cite No | Patent Number | Kind Code <sup>1</sup> | Issue Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|--------------------|---------|---------------|------------------------|------------|---|---|
|                    | 1       | 6048868       | A                      | 2000-04-11 | Fourtillan et al.                               |   |

## U.S. PATENT APPLICATION PUBLICATIONS

| Examiner Initials* | Cite No | Publication Number | Kind Code <sup>1</sup> | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|--------------------|---------|--------------------|------------------------|------------------|---|---|
|                    | 1       |                    |                        |                  |   |   |

## FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No | Foreign Document Number <sup>3</sup> | Country Code <sup>2</sup> | Kind Code <sup>4</sup> | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T <sup>5</sup> |
|--------------------|---------|--------------------------------------|---------------------------|------------------------|------------------|---|---|----------------|
|                    | 1       | 1 438 973                            | EP                        | A1                     | 2004-07-21       | Ono Pharmaceutical Co., Ltd.                    |   |                |
|                    | 2       | 03/099821                            | WO                        | A1                     | 2003-12-04       | X-Ceptor Therapeutics, Inc.                     |   |                |
|                    | 3       | 2005/070930                          | WO                        | A2                     | 2005-08-04       | Chiron Corporation                              |   |                |
|                    | 4       | 2005/089764                          | WO                        | A1                     | 2005-09-29       | PTC Therapeutics, Inc.                          |   |                |

## NON-PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published. | T <sup>5</sup> |
|--------------------|---------|--|----------------|
|                    | 1       | CAIN, M et al., "Beta-Carbolines: Synthesis and Neurochemical and Pharmacological Actions on Brain Benzodiazepine Receptors", Journal of Medicinal Chemistry, September 1982, pp. 1081-1091, Vol. 25, No. 9.   |                |
|                    | 2       | Supplementary European Search Report dated July 29, 2009   |                |

## EXAMINER SIGNATURE

|                    |  |                 |  |
|--------------------|--|-----------------|--|
| Examiner Signature |  | Date Considered |  |
|--------------------|--|-----------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. 2 Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). 3 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 4 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 5 Applicant is to place a check mark here if English language translation is attached.